



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Bradshaw et al.

Examiner: N/A

Serial No.: 09/972,207

Group Art Unit: N/A

Filed: October 5, 2001

For: **STORAGE AREA NETWORK METHODS  
AND APPARATUS WITH HIERARCHICAL  
FILE SYSTEM EXTENSION POLICY**

Atty Docket: SJ09-2001-0097

Our Ref No: 0102396-00022

CERTIFICATE OF MAILING

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Date: 1/4/02

  
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Reg. No. 31,868

Information Disclosure Statement

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 56 and 97 *et seq.*, the Applicants cite the information on the accompanying modified form PTO 1449. The Applicants also enclose copies of the cited publications.

This Disclosure Statement is being filed under Rule 97(b), that is, within three months of the filing date of a national application (or entry of the national phase in the case of an international application) or before the mailing date of a first Office Action, whichever event occurs last.

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Respectfully Submitted,



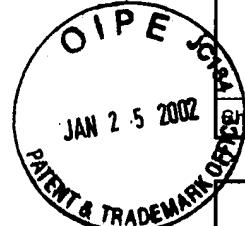
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| Substitute for form 1449A/PTO |   |    |   | Complete if Known      |                  |
|                               |   |    |   | Application Number     | 09/972,207       |
|                               |   |    |   | Filing Date            | October 5, 2001  |
|                               |   |    |   | First Named Inventor   | Bradshaw et al.  |
|                               |   |    |   | Group Art Unit         | Not yet assigned |
|                               |   |    |   | Examiner Name          | Not yet assigned |
| Sheet                         | 1 | of | 1 | Attorney Docket Number | SJ09-2001-0097   |

## U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | U.S. Patent Document |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|----------------------|-----------------------------------|---|--|---|
|                    |                       | Number               | Kind Code <sup>2</sup> (if known) |   |  |   |
| A                  | 5,940,841             |                      |                                   | Schmuck et al.                                  | 8/17/99  |   |
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## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document |                     |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>3</sup> |
|--------------------|-----------------------|-------------------------|---------------------|-----------------------------------|---|--|---|----------------|
|                    |                       | Office <sup>4</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |   |  |   |                |
| B                  | WO                    | 98/24025                |                     |                                   | Luke et al.                                     | 6/4/98   |   |                |
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## NON PATENT LITERATURE DOCUMENTS

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| Examiner Initials | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| C                 |                       | Wills et al. (Conf. Proceed. of the 1995 IEEE 14 <sup>th</sup> Annual int. Phoenix conf. on Computers and Comm., "Experience with an Interactive Attribute-Based User Information Environment", pp359-365, 1995)   |                |
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| Examiner Signature | Date Considered |
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